

FORM ADV PART 2B
BROCHURE SUPPLEMENT

Marie E. Stark

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This brochure supplement provides information about Marie E. Stark that supplements the Forum Financial Management, LP brochure. You should have received a copy of that brochure. Contact us at 630-873-8520 if you did not receive Forum Financial Management, LP's brochure or if you have any questions about the contents of this supplement.

Additional information about Marie Elizabeth Stark (CRD # 3277754) is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Marie Elizabeth Stark

Year of Birth: 1965

Formal Education After High School:

- Siena College, BBA Accounting, 09/1983 - 05/1987

Business Background:

- Forum Financial Management, LP, Partner, 01/2021 - Present
- Forum Financial Management, LP, Investment Adviser Representative, 09/2016 - Present
- Purshe Kaplan Sterling Investments, Registered Representative, 09/2016 - 10/2020
- Stark & Basila CPAs PC, CPA, President, 11/2012 - Present
- Wilson, Stark & Basila CPAs, PC, CPA, Vice President, 05/1986 - 10/2012.
- Cetera Financial Specialists, LLC, Registered Representative, 1/2000 - 08/2016
- Cetera Investment Advisers, LLC, Investment Adviser Representative, 01/2000 - 08/2016

Designations/Certifications:

- Series 7 - General Securities Representative, 2000
- Series 66 - Investment Company and Variable Contracts Products, 1999
- Certified Public Accountant (CPA), 1989

Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Ms. Marie Elizabeth Stark has no required disclosures under this item.

Item 4 Other Business Activities

Marie Elizabeth Stark is also a CPA and President of Stark & Basila CPAs PC, a certified public accounting firm. Clients of our firm may also be clients of Stark & Basila CPAs PC. The services provided and compensation received by Ms. Stark and Stark & Basila CPAs PC for accounting related activities are separate and distinct from any fees paid for advisory services provided by our firm. While we believe that's fees are competitive, such fees may be higher than those charged by other firms providing the same or similar services. Ms. Stark allocates approximately 40% of her professional time to this outside business activity.

Item 5 Additional Compensation

Refer to the *Other Business Activities* section above for disclosures on Ms. Stark's receipt of additional compensation as a result of her other business activities.

Also, refer to the *Fees and Compensation, Client Referrals and Other Compensation, and Other Financial Industry Activities and Affiliations* section(s) of Forum Financial Management, LP's firm brochure for additional disclosures on this topic.

Item 6 Supervision

Forum has implemented a Code of Ethics and an internal compliance program that guides each associated person in meeting their fiduciary obligations to clients. Advice provided to clients is directed by Forum's investment philosophy. The firm monitors the advice given to Forum's advisory clients by its advisors in the following ways:

- an initial review of the asset allocation for a new client as compared to the client's risk tolerance and investment objectives;
- periodic reviews of a random number of the advisor's client files in order to provide reasonable assurance that the advice provided by the advisor to his or her clients is consistent with the client's stated investment objectives and Forum's policies and procedures, and
- in-person or telephonic meetings with advisors to review client activity.

Moreover, on at least an annual basis, Forum offers training to each of its advisors to cover investments, new products, and related compliance concerns.

Marie E. Stark is supervised by Faye H. Nybo, Director of Supervision. Ms. Nybo can be reached at (630) 873-8503 or fnybo@forumfin.com.

Item 7 Part 2B Appendix 1

Certified Public Accountant (CPA) - CPA's are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college education (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum experience levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two year period or 120 hours over a three year period). Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous *Code of Professional Conduct* which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. The vast majority of state boards of accountancy have adopted the AICPA's *Code of Professional Conduct* within their state accountancy laws or have created their own.