

FORM ADV PART 2B  
BROCHURE SUPPLEMENT

**William M. Davis**

**OFFICE ADDRESS:**

1900 S. Highland Ave.  
Suite 100  
Lombard, IL 60148  
Telephone: 630-873-8542

**Forum Financial Management, LP**

1900 S. Highland Ave.  
Suite 100  
Lombard, IL 60148  
Telephone: 630-873-8520

**July 11, 2019**

This brochure supplement provides information about William M. Davis that supplements the Forum Financial Management, LP brochure. You should have received a copy of that brochure. Contact us at 630-873-8515 if you did not receive Forum Financial Management, LP's brochure or if you have any questions about the contents of this supplement.

Additional information about William M. Davis (CRD # 7076629) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

**William Mackenzie Davis**

**Born:** 1988

### **Education Background:**

- DePaul University, Chicago, IL, MBA, 2014
- University of Indiana, Bloomington, IN, BA Financial Management, 2010

### **Business Background:**

- Forum Financial Management, LP, Investment Adviser Representative, 2/2019 - Present
- Forum Financial Management, LP, Chief Operations Officer, 1/2019 - Present
- Forum Financial Management, LP, Operations, 1/2015 - 12/2018

### **Designations/Certifications:**

William M. Davis has earned the following designation(s)/certification(s) and is in good standing with the granting authority:

- Series 65 - Uniform Securities Agent State Law Examination; NASAA; 2019.

## Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Mr. William M. Davis has no required disclosures under this item.

## Item 4 Other Business Activities

William M. Davis is not actively engaged in any other business or occupation (investment-related or otherwise) beyond his capacity as Investment Adviser Representative and Chief Operations Officer of Forum Financial Management, LP. Moreover, Mr. Davis does not receive any commissions, bonuses or other compensation based on the sale of securities or other investment products.

## Item 5 Additional Compensation

William M. Davis does not receive any additional compensation beyond that received as an Investment Adviser Representative and Chief Operations Officer of Forum Financial Management, LP.

## Item 6 Supervision

Forum has implemented a Code of Ethics and an internal compliance program that guides each associated person in meeting their fiduciary obligations to clients. Advice provided to clients is directed by Forum's investment philosophy. The firm monitors the advice given to Forum's advisory clients by its advisors in the following ways:

- an initial review of the asset allocation for a new client as compared to the client's risk tolerance and investment objectives;
- periodic reviews of a random number of the advisor's client files in order to provide reasonable assurance that the advice provided by the advisor to his or her clients is consistent with the client's stated investment objectives and Forum's policies and procedures, and

- in-person or telephonic meetings with advisors to review client activity. Moreover, on at least an annual basis, Forum offers training to each of its advisors to cover investments, new products, and related compliance concerns.

William M. Davis is supervised by Faye H. Nybo, Director of Supervision. Ms. Nybo can be reached at (630) 873-8503 or [fnybo@forumfin.com](mailto:fnybo@forumfin.com).